

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail Stop ISSUE FEE**  
**Commissioner for Patents**  
**P.O. Box 1450**  
**Alexandria, Virginia 22313-1450**  
 or **Fax (571)-273-2885**

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. For further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless otherwise directed in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

7590

08/04/2006

DAVID J. ARCHER  
 7037 POMEROY RD  
 ROCKTON, IL 61072  
 11/14/2006 RMEBRAH1 00000048 10601829

01 FC:1501 1400.00 OP  
 02 FC:1504 300.00 OP

## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

DAVID J. ARCHER (Depositor's name)  
 David J. Archer (Signature)  
 Nov. 6TH 2006 (Date)

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|-----------------|-------------|----------------------|---------------------|------------------|
|-----------------|-------------|----------------------|---------------------|------------------|

10/601,829

06/23/2003

Sam D. Finocchio

1314

9049

TITLE OF INVENTION: SPLINE LUBRICATION APPARATUS FOR LUBRICATING A SPLINE

| APPLN. TYPE | SMALL ENTITY | ISSUE FEE DUE | PUBLICATION FEE DUE | PREV. PAID ISSUE FEE | TOTAL FEE(S) DUE | DATE DUE |
|-------------|--------------|---------------|---------------------|----------------------|------------------|----------|
|-------------|--------------|---------------|---------------------|----------------------|------------------|----------|

nonprovisional

NO

\$1400

\$300

\$0

\$1700

11/06/2006

| EXAMINER | ART UNIT | CLASS-SUBCLASS |
|----------|----------|----------------|
|----------|----------|----------------|

CHARLES, MARCUS

3682

184-006120

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.  
☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent front page, list

- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 DAVID J. ARCHER.  
 2  
 3

## 3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

PURST DIVISION.  
 REGAL-BELOIT CORPORATION BELoit WI.

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

## 4a. The following fee(s) are submitted:

- ☒ Issue Fee  
☒ Publication Fee (No small entity discount permitted)  
☐ Advance Order - # of Copies \_\_\_\_\_

## 4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)

- ☒ A check is enclosed.  
☐ Payment by credit card. Form PTO-2038 is attached.  
☐ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number \_\_\_\_\_ (enclose an extra copy of this form).

## 5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature David J. Archer  
 Typed or printed name DAVID J. ARCHER

Date Nov 6TH 2006  
 Registration No. 31,076

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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# FEE TRANSMITTAL for FY 2004

Effective 10/01/2003. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 1,700.00

## Complete if Known

|                      |            |
|----------------------|------------|
| Application Number   | 10/601829  |
| Filing Date          | 06/23/2003 |
| First Named Inventor | Finocchio  |
| Examiner Name        | Marcus     |
| Art Unit             | 3682       |
| Attorney Docket No.  | 1406US     |

## METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account:

Deposit Account Number  
Deposit Account Name

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments

☐ Charge any additional fee(s) or any underpayment of fee(s)

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

## FEE CALCULATION

### 1. BASIC FILING FEE

| Large Entity |          | Small Entity |          | Fee Description        | Fee Paid |
|--------------|----------|--------------|----------|------------------------|----------|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |                        |          |
| 1001         | 770      | 2001         | 385      | Utility filing fee     |          |
| 1002         | 340      | 2002         | 170      | Design filing fee      |          |
| 1003         | 530      | 2003         | 265      | Plant filing fee       |          |
| 1004         | 770      | 2004         | 385      | Reissue filing fee     |          |
| 1005         | 160      | 2005         | 80       | Provisional filing fee |          |
| SUBTOTAL (1) |          |              |          |                        | (\$)     |

### 2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

|                    |                      | Extra Claims |                      | Fee from below |                      | Fee Paid             |
|--------------------|----------------------|--------------|----------------------|----------------|----------------------|----------------------|
|                    |                      |              |                      |                |                      |                      |
| Total Claims       | <input type="text"/> | -20** =      | <input type="text"/> | X              | <input type="text"/> | <input type="text"/> |
| Independent Claims | <input type="text"/> | -3** =       | <input type="text"/> | X              | <input type="text"/> | <input type="text"/> |
| Multiple Dependent |                      |              |                      |                | <input type="text"/> | <input type="text"/> |

| Large Entity |          | Small Entity |          | Fee Description  |
|--------------|----------|--------------|----------|--|
| Fee Code     | Fee (\$) | Fee Code     | Fee (\$) |  |
| 1202         | 18       | 2202         | 9        | Claims in excess of 20                                     |
| 1201         | 86       | 2201         | 43       | Independent claims in excess of 3                          |
| 1203         | 290      | 2203         | 145      | Multiple dependent claim, if not paid                      |
| 1204         | 86       | 2204         | 43       | ** Reissue independent claims over original patent         |
| 1205         | 18       | 2205         | 9        | ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2)

(\$)

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEES

Large Entity Small Entity

| Fee Code | Fee (\$) | Fee Code | Fee (\$) | Fee Description  | Fee Paid  |
|----------|----------|----------|----------|--|-----------|
| 1051     | 130      | 2051     | 65       | Surcharge - late filing fee or oath  |           |
| 1052     | 50       | 2052     | 25       | Surcharge - late provisional filing fee or cover sheet                     |           |
| 1053     | 130      | 1053     | 130      | Non-English specification  |           |
| 1812     | 2,520    | 1812     | 2,520    | For filing a request for ex parte reexamination                            |           |
| 1804     | 920*     | 1804     | 920*     | Requesting publication of SIR prior to Examiner action                     |           |
| 1805     | 1,840*   | 1805     | 1,840*   | Requesting publication of SIR after Examiner action                        |           |
| 1251     | 110      | 2251     | 55       | Extension for reply within first month                                     |           |
| 1252     | 420      | 2252     | 210      | Extension for reply within second month                                    |           |
| 1253     | 950      | 2253     | 475      | Extension for reply within third month                                     |           |
| 1254     | 1,480    | 2254     | 740      | Extension for reply within fourth month                                    |           |
| 1255     | 2,010    | 2255     | 1,005    | Extension for reply within fifth month                                     |           |
| 1401     | 330      | 2401     | 165      | Notice of Appeal   |           |
| 1402     | 330      | 2402     | 165      | Filing a brief in support of an appeal                                     |           |
| 1403     | 290      | 2403     | 145      | Request for oral hearing   |           |
| 1451     | 1,510    | 1451     | 1,510    | Petition to institute a public use proceeding                              |           |
| 1452     | 110      | 2452     | 55       | Petition to revive - unavoidable   |           |
| 1453     | 1,330    | 2453     | 665      | Petition to revive - unintentional   |           |
| 1501     | 1,330    | 2501     | 665      | Utility issue fee (or reissue)   | \$1700.00 |
| 1502     | 480      | 2502     | 240      | Design issue fee   |           |
| 1503     | 640      | 2503     | 320      | Plant issue fee  |           |
| 1460     | 130      | 1460     | 130      | Petitions to the Commissioner  |           |
| 1807     | 50       | 1807     | 50       | Processing fee under 37 CFR 1.17(q)  |           |
| 1806     | 180      | 1806     | 180      | Submission of Information Disclosure Stmt                                  |           |
| 8021     | 40       | 8021     | 40       | Recording each patent assignment per property (times number of properties) |           |
| 1809     | 770      | 2809     | 385      | Filing a submission after final rejection (37 CFR 1.129(a))                |           |
| 1810     | 770      | 2810     | 385      | For each additional invention to be examined (37 CFR 1.129(b))             |           |
| 1801     | 770      | 2801     | 385      | Request for Continued Examination (RCE)                                    |           |
| 1802     | 900      | 1802     | 900      | Request for expedited examination of a design application                  |           |

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 1700.00

## SUBMITTED BY

|                   |                 |                                   |            |           |              |
|-------------------|-----------------|-----------------------------------|------------|-----------|--------------|
| Name (Print/Type) | David J. Archer | Registration No. (Attorney/Agent) | 31,076     | Telephone | 815-629-2750 |
| Signature         |                 | Date                              | 11/06/2006 |           |              |

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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